



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: DAVID J. PARINS et al. Confirmation No.:  
Serial No.: 10/748,933 Examiner: Unassigned  
Filed: DECEMBER 30, 2003 Group Art Unit: Unassigned  
For: DISTAL ASSEMBLY FOR A MEDICAL DEVICE  
Docket No.: 1001.1676101 Customer No. 28075

**TRANSMITTAL SHEET**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 22<sup>nd</sup> day of APRIL, 2004.

By   
J. Scot Wickhem

Dear Sir:

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement under 37 C.F.R. §1.97(b)
- ☒ Form PTO-1449 listing Thirty (30) references
- ☒ Copies of Three (3) non-published U.S. Patent Applications are enclosed
- ☒ Return Postcard.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: 

J. Scot Wickhem, Reg. No. 41,376

CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
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**INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))**

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By

  
J. Scot Wickhem

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the items of information listed on the attached PTO-1449 is hereby disclosed.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merit. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

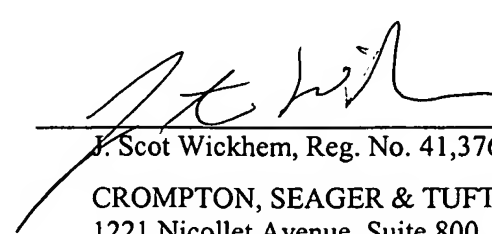
In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each foreign patent documents, non-published patent application, and non-patent literature listed on the enclosed Form PTO-1449 is provided.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

Date:

April 22, 2004

  
J. Scot Wickhem, Reg. No. 41,376

CROMPTON, SEAGER & TUFTE, LLC  
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FORM PTO-1449* INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: 1001.1676101	Serial No.: 10/748,933
	Applicant: DAVID J. PARINS et al.	
IN AN APPLICATION (Use several sheets if necessary)	Confirmation No.:	
	Filing Date: DECEMBER 30, 2003	Group Art: Ukwn



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	FILING DATE IF APPROPRIATE
	10/346,698		Art Miller et al.		01/17/2003
	10/375,766		Reynolds et al.		02/26/2003
	10/376,068		Shireman et al.		02/26/2003
	3,174,851	03/23/1995	Buehler et al.		
	3,351,463	11/07/1967	Rozner et al.		
	3,753,700	08/21/1973	Harrison et al.		
	5,069,226	12/03/1991	Yamauchi et al.		
	5,084,022	01/28/1992	Claude		
	5,213,111	05/025/1993	Cook et al.		
	5,228,453	07/20/1993	Sepetka		
	5,238,004	08/24/1993	Sahatjian et al.		
	5,259,393	110/9/1993	Coroso, Jr et al.		
	5,267,574	12/0719/93	Viera et al.		
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	6,139,510	10/31/2000	Palermo		
	6,329,069	12/11/2001	Azizi et al.		
	6,500,130	12/31/2002	Kinsella et al.		

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

<b>FORM PTO-1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b>	Atty. Docket No.: 1001.1676101	Serial No.: 10/748,933
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EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	FILING DATE IF APPROPRIATE
	6,508,803	01/21/2003	Horikawa et al.		
	6,520,923	02/18/2003	Jalisi		
	US 2003/0069520	04/10/2003	Skujins et al.		
	US 2003/0069521	04/1020/03	Reynolds et al.		

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	COUNTRY	CLASS	TRANSLATION	
					YES	NO

**OTHER ART** (Including Author, Title, Date, Pertinent Pages, Etc.)


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